

**CIVIL DOCKET**  
**UNITED STATES DISTRICT COURT**

BARROW

70-C-121

**Jury demand date:**

D. C. Form No. 106A Rev.

TITLE OF CASE		ATTORNEYS					
<p>Investors' Institute, Incorporated, an Oklahoma Corporation</p> <p>vs</p> <p>The United States of America, Securities &amp; Exchange Commission, an Agency Thereof</p>		<p><b>For plaintiff:</b></p> <p>George W. Beck, III 681542-8418 Box 70 Miami, Oklahoma 74354</p> <p><b>For defendant:</b></p> <p>Attorneys for: S&amp;E Commission: Robert F. Watson, Ernest Steve Watson, &amp; Michael Macchiaroli 503 Unites State Courthouse Ft. Worth, Texas 76102</p>					
STATISTICAL RECORD	COSTS		DATE	NAME OR RECEIPT NO.	REC.	DISB.	
J.S. 5 mailed 5-1-70	Clerk		1970 4-16 4-23	Beck CD 46	15 00	15	00
J.S. 6 mailed 3-1-71	Marshal						
Basis of Action: Injunction and Declaratory Judgment	Docket fee						
	Witness fees						
Action arose at: Miami, Oklahoma	Depositions						

DATE	PROCEEDINGS	Date Order or Judgment Noted
4-16-70	Complaint, filed. Summons issues. g	
4-16-70	Summons returned, filed - served Nathan Graham, U. S. District Attorney for NDO and mailing two copies to The Attorney General, Washington, D. C and one copy to the Securities and Exchange Commission, Washington, D. C., by certified mail, all on April 16, 1970. (Fee \$3.00) b	
6-15-70	Motion to Dismiss S&EC. filed. v - <i>Copies mailed</i>	
6-15-70	Memorandum os Securities and exchange commission in support of the motion to dismiss the complaint. filed. v	
6-17-70	Minute Order: It is ordered by the Court that the Plf reply to Deft. Motion of Dismissal with responsive bried within 10 days. v	
8-17-70	Motion of Securities and Exchange Commission for consolidation of actions of this case and 70-C-185, filed. m	
8-17-70	Affidavit for consolidation of actions ot this case and 70-C-185, filed. m	
8-17-70	Memorandum of Securities and Exchange Commission in support of motion to consolidate actions (this case and 70-C-185), filed. m	
8-17-70	Ordered that pltf. file responsive brief to motion of Sec. & Exch. Comm. for consolidation of this case & 70-C-185 within 10 days. (AEB-J)m	
8-20-70	Minute Order: This Case 70-C-185 is consolidated into this case for all purposes. (AEB-J)h	
10-21-70	Hearing held on Motion to Dismiss of S.E.C. Motion to dismiss of defendants is sustained and the cause of action is dismissed for all purposes, except remaining open for the purpose of hearing injunctive relief sought by the Govt from the previous case of 70-C-185, which was consolidated into this case. It is ordered that Hearing for Injunctive relief (TRO) is hereby set on Tues. Nov. 17, 1970 at 10:00 A.M. (AEB-J)h	
10-22-70	Order Dismissing Plaintiff's Cause of Action filed and entered. (AEB-J)h	
11-13-70	Memorandum of the SEC in support of motion to consolidate actions, filed. m	
11-17-70	Case called for hearing on merits. Hearing held. Parties present and represented. Hearing held on cross claim of SEC. Testimony given. It is ordered by the court that Preliminary Injunction granted to Cross Claimant SEC for period of two weeks, and case passed for hearing on Permanent Injunction Tuesday, December 1, 1970 at 10:00 A.M. Investors Institute granted 5 days to file briefs. Proper order of Hearing to be prepared by attorney for SEC, Robert Watson within 3 days, and case hereby submitted on evidence. (AEB-J)h (Loyd Christenson-CSR)	
11-19-70	Order of preliminary injunction, filed. (Allen E. Barrow - Judge) copies mailed to counsel. b	
11-23-70	Plaintiff Investors' Institute Inc., brief in support of Finding of Registration with Defendant S.E.C. filed. h (cert of serv. to be filed 11-24-70)h	
11-24-70	Certificate filed. ds	
12-1-70	Case called for hearing on application for Permanent Injunction. Parties present and represented. Defendant granted 5 days in which to file a responsive brief, and case stricken from docket of this date. (AEB-J)h	
12-14-70	Memorandum of securities and exchange commission in reply to plaintiff's brief entitled "In support of a finding of registration with defend- ant S.E.C." filed, ds.	
1-13-71	Plaintiff's motion for clarification and amendment, filed. ds	
1-13-71	Plaintiff's motion for an Immediate ruling, filed. ds	
1-13-71	Plaintiff's brief in support of motions for clarification and amend- ment and for an immediate ruling, filed. ds	
1-13-71	Ordered by the court that defendants reply to plaintiff's motions within ten days. (AEB-J) ds	

(OVER)

DATE	PROCEEDINGS	Date Order or Judgment Noted
1-27-71	Brief of the Securities and Exchange Commission in response to Motion of Investors Institute, incorporated for (1) Clarification and Amendment of this Court's Order of Temporary Injunction and (2) For an immediate ruling, filed. r	
2-10-71	Order filed overruling motion of Investors Institute, Incorporated's for clarification and amendment of the Temporary Injunction and order overruling motion for immediate ruling. (Allen E. Barrow-Judge)b	
2-10-71	Findings of Fact and Conclusions of Law, filed. (Allen E. Barrow-J)b	
2-10-71	Final judgment of permanent injunction, filed and entered. (Allen E. Barrow - Judge) copies of above 3 orders mailed to counsel. b	
<del>2-10-71</del>	<del>Final-Judgment-of-P</del>	

DATE	PROCEEDINGS	Date Order or Judgment Noted